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Virtual Autonomous Fast-Time Exploration of Large Domains of Complex/Unknown Flight Situations for Safety through Lifecycle: Present, Future, Benefits and Pitfalls

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## High Dimensionality of Operational Risk Space: Consequences for Flight Safety Protection through Lifecycle



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## Problem: How to Explore Large Domains of Complex/ Unknown Flight Situations for Safety ?

The 'pilot / automation - aircraft - operating environment' system is characterized by non-linear cross-coupling dynamics and heterogeneous logics. At the edge of the flight envelope, the system can exhibit unstable branching behavior – with bifurcations (safe/ unsafe) which are sensitive to risk factor combinations and control inputs.

Irreversible multifactor situation (example): 'Approach and landing in offnominal conditions – wind shear warning, heavy rain, water-covered runway, pilot errors and automatic safety protection logic flaws'



- Key challenges and solutions sought:
- The 'curse of dimensionality'  $\Rightarrow$  relaxation techniques Non-linear **unsteady system dynamics**  $\Rightarrow$  **high-fidelity description** Variety and multiplicity of scenarios  $\Rightarrow$  flexible generalized scripting **Multifactor** operational **composites**  $\Rightarrow$  **automated planning & screening** Safety performance  $\Rightarrow$  efficient & affordable analysis through lifecycle System-level properties  $\Rightarrow$  knowledge extraction & representation



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Legend:

## The 'Curse of Dimensionality' in Flight Safety Research: **Example for a Small Subdomain of Takeoff Cases**

Flight case/ situation and examined risk factors	Number of risk factors	Number of required test scenarios
1. Normal takeoff (zero-risk or benign situation)	0	3 × 3 (*) = 9
2. Normal takeoff + 'wet runway' (one risk factor situation)	1	9 × 3 (**) = 27
3. Continued takeoff + 'wet runway' + 'engine out [in groundroll]'	2	27 × 5 (**) = 135
4. Continued takeoff + 'wet runway' + 'engine out' + 'windshear'	3	135 × 3 = 405
5. Continued takeoff + 'wet runway' + 'engine out' + 'windshear' + 'pilot error'	4	405 × 5 = 2 025
6. Continued takeoff + 'wet runway' + 'engine out' + 'windshear' + 'pilot error' + 'automatic system data/ algorithm flaw'	5	2 025 × 5 = 10 125
7. Case 6 + 'aerodrome elevation [above sea level]' + 'atmospheric temperature variation'	7	10 125 × 3 × 3 (***) = 91 125 (****)

Legend: '...' - risk factor group name. + - operation of addition of a new risk factor to a lower-complexity scenario. (\*) - three values of risk factor 'aircraft weight' { min, med, max } multiplied by three values of risk factor 'C.G. location' { front, mid, aft }. (\*\*) - 3 ... 5 is the minimal number of values of one risk factor for examination in any complex scenario. (\*\*\*) - three values of risk factor 'aerodrome elevation' { 0, 1000 m, 3000 m } multiplied by three values of risk factor 'atmospheric temperature variation', e.g.: { ISA, ISA+10°, ISA+20° }. (\*\*\*\*) - conservative estimate.



The number of multifactor scenarios for testing increases in geometric progression as the complexity of flight situations grows. Even for a small subdomain of takeoff cases, the total number of tobe-tested scenarios is 91125, and the net duration of these cases (each 60 s long) is equal to 1519 hours, or 190 working days.

In overall, the total net duration of all multifactor scenarios of all flight phases for one aircraft type to test/ learn exceeds the lifespan of a pilot/ engineer.

## Limitations of Classic Techniques In Studying Off-Nominal Flight Situation Scenarios

1. Desktop flight<br/>modelling and<br/>simulation software2. Remotely controlled<br/>dynamically scaled<br/>flying model3. Man-in-the-loop<br/>engineering/ training<br/>flight simulator

4. Experimental flight test article/ flying laboratory

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Limitation				e#	
	1	2	3	4	
Difficulty to setup and modify the content of multifactor scenarios					
The 'curse of dimensionality': combinatorial limits on the total number of tested cases				•	
Difficulty to implement and follow multifactor scenarios (and retain/ repeat them later)				•	
Real-time flight experimentation only				•	
Substantial demand for resources – budget, time, cadre, technology, etc.				•	
Sparsely exemplified database of tested multifactor cases				•	
Difficulty to identify anomalous cases and their precursors in advance	•	•	•	•	



These limitations can lead to an 'under-tested' aircraft. As the result, multifactor combinations of safety risks can propagate undetected into flight operations. That is, off-nominal flight scenarios often become known only after accidents.

## Solution Approach: Virtual Autonomous Fast-Time **Proactive Exploration of 'Alternative Futures'**

The 'pilot/ automaton - aircraft - operating environment' system dynamics model serves as an autonomous high-throughput generator of multifactor virtual flight cases. For each baseline scenario, a tree incorporating 10<sup>2</sup>...10<sup>3</sup> 'what-if' situations' can be simulated. Safety related knowledge is then mined from raw 'flight' data and depicted as 'a bird's eye view' knowledge maps for visual analytics in parallel.



**S**<sub>0</sub>: Normal takeoff (baseline situation)

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## The 'Curse of Dimensionality': Mitigation Principle and Its Implementation

2. Structuring Complex / Unknown Operational Domains

'After all, complicated tasks usually do inherently require complex algorithms, and this implies a myriad of details. And the details are the jungle in which the devil hides. <u>The only salvation lies in structure</u>' [1].

> Prof. Niklaus WIRTH, Swiss Computer Scientist – chief designer of programming languages Euler, Algol W, PL360, Pascal, Modula, Modula-2 and Oberon.



In order to soften Bellman's curse of dimensionality in complex/ unknown flight domain research, the developed technology of Virtual Flight Test and Certification (VFTC) harnesses Wirth's principle. Namely, the VFTC technology consists of two highly-structured interrelated components:

- ISAFE methodology Intelligent Situational Awareness & Forecasting Environment (theory).
- VATES software tool Virtual Autonomous Test & Evaluation Simulator (software tool).



The technology's exploratory power is due to the synergy of high-fidelity mathematical modeling, fast-time simulation, situational control, artificial intelligence, knowledge mining and mapping, virtual reality and some other techniques.

Legend: [1] - N. Wirth, Programming in Oberon, a Tutorial, ETH Zurich, Switzerland, 2004, 63 pp. (\*) - image source: <a href="http://www.csag.uct.ac.za/2017/02/02/culturing-some-form-of-a-growth-mindset-for-learning-in-fractal/">http://www.csag.uct.ac.za/2017/02/02/culturing-some-form-of-a-growth-mindset-for-learning-in-fractal/</a>

## Two-Level Knowledge Structure of Complex Operational Domains of Flight - Situational/ Tactical Knowledge Base



The directed graph and the tree are two **generalized mathematical structures** which are used to accumulate **knowledge about realistically complex large operational domains** of flight.

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## Flight Situation Scenario – Directed Graph Format (Example)





Scenario is a **concise formal structure** - a plan of the anticipated content of a flight situation. It consists of **events and processes** linked by heterogeneous **logical relationships**: causal, temporal, instrumental, etc. **Any situation** (test, operation, incident/ accident, virtual one), **be it benign or complex**, can be formalized and simulated using this scenario scripting language.

## Flight Situation Scenario – Matrix Format (Example)

#### Scenario in matrix format

Scenario S<sub>3</sub>: 'Continued takeoff and initial climb, with LEO during ground-roll, wet runway and cross wind' (3-factor situation)

$$\begin{split} \mathbf{S}_3 &= \{\,\mathbf{E}_1,\,...,\,\mathbf{E}_8,\,\mathbf{E}_{99}\,\} \cup \{\,\mathbf{P}_1,\,...,\,\mathbf{P}_4\,\} \\ &\cup \{\,\mathbf{T}_1,\,...,\,\mathbf{T}_6\,\} \cup \{\,\mathbf{O}_1,\,...,\,\mathbf{O}_6\,\} \cup \\ &\quad \{\,\mathbf{F}_1\,\} \cup \{\,\mathbf{W}_1\,\} \cup \{\,\mathbf{Y}_1\,\} \end{split}$$

The flight scenario concept is universal. It is applicable to any aircraft class, all flight phases (or the whole flight), all risk factors and all situations types: flight T&C, SOPs, accidents/ incidents, etc. Thousands of baseline scenarios were examined for 30+ aircraft and projects since 1984.



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## **Exploration of Multifactor Risk Space: Requirements Formulated by Test Pilots**

#### Characteristics of complex/ unknown domains of flight found in testimonies of test pilots [1]:





Requirements to safety research process in multifactor offnominal situations:

- Accurate mathematical modeling of the physics and logic of flight
- Consideration of combinations of risk factors
- Parallel analysis of what-if branching decisions and their effects
- Screening operational domains for rare scenarios.



Legend: [1] - G.A. Amiryantz, Test Pilots. Sergei Anokhin and Co-Fellows. Mashinostroyenie, Moscow, Russia, 2001, 448 pp. (in Russian).

## Experience-Driven Branching Organization of Memory in Animals And Humans: Results of Brain and Mind Research [1-3]



Generalized concepts of 'flight situation scenario' and 'situational tree' are coherent with recent experimental research results obtained by neuroscientists and psychologists [1-3].

Legend: [1] - D.A. Gibson and Le Ma. Developmental regulation of axon branching in the vertebrate nervous system. *Development 138*, 2011, pp. 183-195. [2] - Quartz, S.R. and Sejnowski, T.J. The Neural Basis of Cognitive Development: A Constructivist Manifesto, *Behavioral & Brain Sciences*, 20:4, 1997, pp. 537-596. [3] - Holtmaat A., Svoboda K. Experience-dependent structural synaptic plasticity in the mammalian brain. *Nature Reviews. Neuroscience*, 10, September 2009, pp. 647-658.

## The Principle of Branching in Situational Knowledge Tree – Takeoff Example

#### n<sup>th</sup> -order branch: Nominal takeoff (**baseline scenario** or trunk, if n = 0.

The baseline scenario's events and processes are lined up along the flight time axis (upward).

#### B (n+1)<sup>th</sup> - order branch example: Off-nominal takeoff with a pilot error at E<sub>7</sub> ('what-if' scenario).

A new risk factor - a smaller commanded pitch angle G<sub>3</sub>: goal pitch 8° (pilot error) is implanted at event E7. The time axis and the modified process  $(\mathbf{G}_3)$  of the what-if scenario are shown by dashed lines.



By adding new risk factors into a baseline scenario, the process of virtual flight exploration is intentionally directed into new **regions** of a complex/ unknown operational domain: 'what [happens] ..., if ... ?'.



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## Main Defects of a Human Pilot's Situational Knowledge Base – Natural Tree Analogy



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## Selected Characteristic Phenotypes Of Human Pilot's Internal Situational Knowledge Base

	_	-						
Total flight hours *	5 10	10 100	100 1000	1000 5000	5000 10000+	100 1000	1000 5000	5000 10000+
Piloting skills in off- nominal situations	Student pilot	'Freshly minted' pilot	Professional, mature	Highly proficient, comprehensive	Exceptional (test/ expert pilot level)	Weakened, damaged	Weakened, decayed	Substantially decayed, lost
Multifactor flight training currency	Basic	Fair	Sufficient/ good, standard	Adequate / Excellent	Adequate /Up-to-date, withIExcellenttheoretical backuptra		Long breaks in training	Very long break in training
Knowledge branching pattern	Weak, basic	Sparse, immature	Dense, Systematic	Comprehensive. regular	Very dense, type- specialized	Non-systematic, with large blanks	Decayed outer (high-order) layer	Forgotten (major crown)
Thickness of trunk (of 1 <sup>st</sup> 2 <sup>nd</sup> -order branches)	Tiny	Minimal	Moderate	Moderate to large	Thick (moderate)	Moderate	Moderate	Thick (moderate)
Phenotype of a pilot's 'internal situational knowledge tree'	$\left  \begin{array}{c} \\ \end{array} \right $	No.						
Martilari			<b>6</b> 1			×		/

Most dangerous phenotypes of a human pilot's situational knowledge base

## Without due training, hundreds or even thousands of previous total flight hours (TFH) in aircraft type accumulated by a pilot do not guarantee safe piloting in off-nominal situations.

<u>Legend</u>: (\*) – rough estimate of a pilot's total flight hours (TFH) in type. Thickness of a trunk/ branch is proportional to a pilot's TFH in type. Thick trunk means many hours of flying in benign conditions. Dense (or sparse) crown branching denotes good (or inadequate, insufficient) training in multifactor situations. Major defects of a pilot's knowledge base include: dead/ broken branches, sparse/ chaotic crown branching, and absent branching. Image sources: <u>http://maxpixel.freegreatpicture.com/</u>, <u>http://www.wildwoodsdorset.co.uk/treesurgery.asp</u>, <u>http://fruitandnuteducation.ucdavis.edu/generaltopics/Tree\_Growth\_Structure/Tree\_Structure\_Light\_Capture/</u>, <u>https://www.pinterest.com/aethiopica/stencils</u>, <u>https://de.123rf.com/photo\_17687303\_sammlung-von-b-umen-silhouetten.html</u>, <u>https://pixabay.com</u>, <u>www.aixtree.com</u>.

## Fractal Tree Growth as a Model of Pilot's Tactical Experience Development in Long-Term Memory



The ideal outcome of a VFTC process is a forest-type synthetic knowledge base on the system dynamics and safety in off-nominal situations. In theory, the volume of a such synthetic knowledge base can many times exceed the volume of situational experience acquired by all pilots for all aircraft of a given type in aviation history since the Wright Brothers' flight.

Legend: 1, 2, ..., 10 – the maturity levels of a pilot's expertise in coping with complex flight situations (a working classification):  $k \in \{1, 2, 3\}$  – elementary experience of a student pilot,  $k \in \{4, ..., 7\}$  – interim (immature/ growing  $\rightarrow$  mature/ standard) states of experience,  $k \in \{8, 9, 10\}$  – highly professional experience of ace pilot, expert pilot, or test pilot. Fractal tree generating software: FracTree 1.0 program for MS Windows (shareware). Author: M. Schernau. Fractal name: Model of a pilot's situational expertise growth. Number of branching directions: 20. Axiom: ----G. Tree growth rules:  $G \rightarrow [V]$ +FFX-F-FFX+FX [+G][-G]F,  $V \rightarrow XF[G], X \rightarrow F[-XF][+XF]$  FX.



## How to Represent Safety Related Information and Constraints? -Notions of Safety Palette and Fuzzy Constraint

Structuring Complex / Unknown Operational Domains N



- green ('norm'),  $\xi_G$
- yellow/ amber ('attention'),  $\xi_{\rm Y}$
- red ('danger'),  $\xi_{\text{R}}$
- black ('catastrophe'),  $\xi_{\text{B}}$
- grey/ white ('uncertainty'),  $\xi_W$
- turquoise ('optimum'),  $\xi_{\text{T}}$

$$\boldsymbol{\pi} = \{\boldsymbol{\xi}_{\mathrm{W}}, \boldsymbol{\xi}_{\mathrm{T}}, \boldsymbol{\xi}_{\mathrm{G}}, \boldsymbol{\xi}_{\mathrm{Y}}, \boldsymbol{\xi}_{\mathrm{R}}, \boldsymbol{\xi}_{\mathrm{B}}, \ldots\}$$

Safety palette is a natural color-coding technique used to denote the danger level of the current numeric value of the system state parameter - as a function of time.



<u>Legend</u>: *c*, *d* – characteristic points of the carrier of fuzzy setconstraint *C*,  $\mu_{C}(x)$  – Prof. Zadeh fuzzy set membership function.  $V_{IAS}$  – indicated airspeed ('flapsdown' flying mode).

The concept of **fuzzy constraint** is used to **formalize a real system's operational constraints**, which are characteristic to off-nominal /unknown flight domains.

## Specification of Fuzzy Constraints in the System Dynamics Model (Commuter Airplane Example)





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## Partial Safety Spectra and Integral Safety Spectrum **Of Flight Situation**

A partial safety spectrum is constructed for the time-history of each variable monitored in a flight situation using safety palette and fuzzy constraints. In order to account for all monitored variables, the hottest color from all partial spectra is selected for the integral safety spectrum at each time instant.



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## Situation Complexity Build-up Diagram

Domains Complex / Unknown Operational Structuring



Situation Complexity Build-up diagram



 $(\forall t) (t \in [t_*; t^*]) (\xi_k \in \pi) (k = \{Y, R, B, ...\})$  $(\sigma(t) = N(\xi_Y(t)) + N(\xi_R(t)) + N(\xi_B(t))$ 

'Flight'  $F_{2782}$ : 'Normal takeoff, initial climb at flight path angle  $\theta_{\rm G}$  = 16° and bank angle  $\gamma_{\rm G}$  = 22.5° under 'very strong' wind shear conditions'

Situation Complexity Build-up diagram and Fuzzy Constraints Violation & Restoration Sequence diagram contain quantitative and qualitative information about the severity and the order of violations and restorations of operational constraints.

## Fuzzy Constraints Violation & Restoration Sequence diagram

**Legend**:  $\sigma$  – situation complexity index – the number of fuzzy constraint violations (the total number of the 'visits' of a monitored state variable *x* to zones  $\xi_{Y}$ ,  $\xi_{R}$ ) at a time instant *t*. *N* – the count of color  $\xi_{k}(t)$  at a time instant *t*, *k* = {Y, R, B, ...}).

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## **Design Field of Multifactor Operational Risk Hypotheses**



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Example of a **five-factor operational hypothesis**:

$$\Gamma_6 = \Phi_9 \times \Phi_1 \times \Phi_2 \times \Phi_{12} \times \Phi_{10} \equiv \dot{H}_G \times \theta_G \times \gamma_G \times \dot{P} \times V_{EF}$$

In the system dynamics model, heterogeneous risk factors (associated with a pilot, automaton, aircraft technical condition and weather) are combined and treated uniformly taking into account the scenario and the desired scope of safety research.

#### Legend:

- $\mathbf{\Phi}_{\mathbf{A}}$  s
- multifactor operational hypothesis.
  - safety risk factor.
  - link between risk factors in
    Γ: independent and dependent, respectively.

## Situational Tree of Flight. Total Virtual Flight Test Time



Legend:  $\mathbf{T} = \mathbf{S}_1 \cdot \mathbf{\Gamma}_{11}$  - situational tree,  $\mathbf{T} = \{ \mathbf{F}_{2551}, ..., \mathbf{F}_{2680} \}$ ,  $\mathbf{F}_k = 'flight'$ , k = 2551, ..., 2680,  $\mathbf{F}_k \equiv \mathbf{B}_i$ ,  $\mathbf{B}_i$  - branch in tree  $\mathbf{T}$ ,  $\Delta t(\mathbf{B}_i) = 60$  s - branch 'length' [s],  $i = 1, ..., N(\mathbf{T})$ ,  $N(\mathbf{T}) = 130$  - total number of branches in tree,  $\mathbf{S}_1$  - baseline situation scenario: 'Takeoff and initial climb',  $\mathbf{\Gamma}_{11} = \mathbf{\Phi}_1 \times \mathbf{\Phi}_2$  - tree's genotype (tested operational hypothesis),  $\mathbf{\Phi}_j$  - risk factor,  $\mathbf{\Phi}_1 \equiv \mathbf{\theta}_G$ ,  $\mathbf{\Phi}_2 \equiv \gamma_G$ ,  $\mathbf{\theta}_G$  - commanded flight path angle,  $\mathbf{\theta}_G \in \{2^\circ, 4^\circ, ..., 20^\circ\}$ ,  $\gamma_G$  - commanded bank angle,  $\gamma_G \in \{-45^\circ, -37.5^\circ, ..., +45^\circ\}$ , (north, east, height)  $\equiv (N, E, H)$  - Earth frames,  $\mathbf{E}_1 = \mathbf{E}_1 - \mathbf{E}_1 + \mathbf{E}_2 + \mathbf{$ 

# A multifactor tree represents 'what-if neighborhood' (off-nominal derivative situations) built around a baseline situation in fast-time experiments without a real research pilot in simulation loop.

## Integral Safety Spectra ('Carpet'). Examined Risk Factors. Flight Safety Indices. Fuzzy Constraints Violation Statistics



Subset of flights { $F_{2760}$ , ...,  $F_{2811}$ } from tree S<sub>1</sub> · $\Gamma(\Phi_1 \times \Phi_2 \times \Phi_3)$ : 'Normal takeoff and initial climb, 'very strong' wind-shear, variations of commanded flight path and bank angles'

<u>Legend:</u> **1** – fuzzy constraint violation messages. **2** – flight time scale. **3** – events (**E**<sub>3</sub> and **E**<sub>108</sub> = **E**<sub>12</sub>. **Φ**<sub>1</sub> – value of risk factor 'commanded flight path angle  $\Theta_{G}$ ',  $_{G} \in \{14^{\circ}, ..., 20^{\circ}\}$ . **Φ**<sub>2</sub> – value of risk factor commanded bank angle  $\gamma_{G}$ ',  $\gamma_{G} \in \{-45^{\circ}, ..., +45^{\circ}\}$ . **Φ**<sub>3</sub> – risk factor 'wind shear ( $W_{xg}$ ,  $W_{zg}$ = f(t))'. # - 'flight' code.  $\Sigma$  - integral safety spectrum;  $\eta$  – safety index. **— —** – safety palette. (\*) first introduced by Dr. J.-P. Cachelet, AIRBUS.



Integra Safety Spectra ('Carpet' (\*)) knowledge map is used to quantify safety levels of 'flights', spot anomalies in the system dynamics, study causal, instrumental and temporal logic links between specific combinations of risk factors and violations of operational constraints.

## Flight Situations Safety Classification Categories

Color	Code	Name	Classification criterion
Green	I	Safe	The system state resides mainly inside the 'green' zone. The system state may stay, <i>for a short period of time -</i> as a maximum, in close proximity to the operational constraints, i.e. inside the 'yellow' zone.
Salad	II-A	Conditionally Safe - A	The system state may stay temporarily, <i>for a medium period of time -</i> as a maximum, in close proximity to the operational constraints, i.e. inside the 'yellow' zone.
Yellow	II-B	Conditionally Safe - B	The system state may stay <i>for a long period of time -</i> as a maximum, in close proximity to the operational constraints, i.e. inside the 'yellow' zone.
Orange	III	Potentially Unsafe	The system state may violate operational constraints, i.e. enter the 'red' zone, for a short or between short and medium period of time - as a maximum.
Red	IV	Dangerous (prohibited)	The system state may stay beyond the operational constraints, i.e. inside the 'red' zone, <i>for a medium or long period of time</i> - as a maximum, or till the end of the situation.
Black	V	Catastrophic ('chain reaction')	There is at least one (i.e. <i>for a very short time</i> ) occurrence of the violation of any operational constraint on the 'black' level.



This safety evaluation scale enables automatic partitioning/ clustering of a tree of what-if flight situations into six safety classification categories – depending on the color-coding structure of the integral safety spectra of its branches ('flights').

## Safety Window. Safety Chances Distribution Pie Chart

Tree T =  $S_1 \cdot \Gamma_{11}$ : 'Takeoff. Errors/ variations of commanded flight path and bank angles in initial climb'



#### **Safety Chances Distribution**



Category	ξj	n <sup>j</sup>	χ <sup>j</sup> , %
I		37	28
ll-a		8	6
II-b		29	22
III		1	1
IV		55	43
V		0	0
Σn <sup>j</sup> , Σχ <sup>j</sup>   S	$1 \cdot \Gamma_{11}$	130	100

 $\chi^{j} = \frac{n^{j}}{N(\boldsymbol{T})} \cdot 100 \,[\%]$ 

A mapping of safety categories of all 'flights' from a 'what-if' tree onto the plane with coordinates of two selected risk factors (in this case  $\Phi_1$  and  $\Phi_2$ ) is called **Safety Window**.

Complex / Unknown Operational Domains

## Safety Topology

Safety topology of a complex flight domain is derived **from a fuzzified version of the domain's safety window**. In general, **six main object types of the safety 'topology'** can be found in a safety window:

## Risk factor $\Phi_1$

Legend: Main object types of safety 'topology': 1 - 'abyss' (catastrophe), 2 - 'hill' (danger), 3 -'slope' (reversible transitions), 4 - 'valley' (standard safety, **norm**), 5 - 'lake' (maximum safety, optimum), 6 -'precipice' (chain reaction, abrupt/ irreversible transitions). - safety palette (color codes of flight safety categories I, II-a, II-b, III, IV, V, respectively).





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Structuring Complex / Unknown Operational Domains

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## Virtual Autonomous Fast-Time Flight Exploration Cycle for Safety



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## **Purpose of VFTC Technology**



# The purpose of VFTC technology is to help exploring off-nominal (multifactor) flight situations for safety - quickly, affordably and efficiently - before aircraft is built or flown.

Legend: (\*) - major user categories: designer (aerodynamics, powerplant, flight control, etc.), test pilot/ engineer, regulator, instructor/ educator/ student/ line pilot/ operator, safety engineer/ manager, investigator/ researcher.

## Photo Gallery of Aircraft and Design Projects -VFTC Technology Validation and Application Experience



for 30+ aircraft types and design projects since 1975 – see http://axtree-eng.mcdir.ru/np/01.pdf.

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## Manned Real-Time vs. Autonomous Fast-Time Flight Simulation **In Safety Studies**

#### Piloted (manned) real-time simulation of single flight situations

Semi-virtual 'pilot/ automaton – aircraft – operating environment' simulation system

**Real research pilot** in the simulator's control loop

![](_page_30_Picture_4.jpeg)

Mathematical model of flight physics

![](_page_30_Picture_6.jpeg)

![](_page_30_Picture_7.jpeg)

#### Autonomous (without a research pilot) fast-time simulation of multifactor flight domains

![](_page_30_Figure_9.jpeg)

**1** hour of simulation experiment  $\equiv$  **1** hour of semi-virtual 'flight' (gaining 1 hour-equivalent new knowledge about flight safety in a single situation)

**1** hour of simulation experiment  $\equiv$  **200+** hours of virtual 'flights' (gaining 200+ hours-equivalent new knowledge about flight safety in many situations)

#### Flight research functions automated by means of VFTC technology:

- **planning** baseline scenarios and multifactor risk hypotheses
- **piloting** a human pilot's control according to a predefined scenario
- exploring complex operational domain in the form of a what-if flightpath tree
- mining & mapping safety related knowledge derived from virtual 'flights'.

## Knowledge Maps: Single Situation Analysis and Multiple Situations Analysis (Selected Examples)

![](_page_31_Figure_1.jpeg)

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## Single Situation In-Depth Analysis for Regional and Medium-Range Jets: Examined Risk Factors and Flight Phases (Overview)

![](_page_32_Figure_1.jpeg)

used in this research examples.

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4. Single Situation Analysis

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Other risk factors

## Medium-Range Jet. Low-Altitude Flight, Crossing Vortex Ring. Effects of Pilot Inattention/ Fatigue on Altitude-Hold Accuracy

![](_page_33_Figure_1.jpeg)

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# Regional Jet. Landing: High-Elevation Aerodrome, Crosswind 15 m/s. Effect of Pilot Action Delay in Airborne-to-Ground Control

Weight = 31 tons, C.G.= 24%,  $\delta_F / \delta_S = 23^{\circ} / 27^{\circ}$ , W<sub>Y</sub> = 15 m/s, H<sub>R/W</sub> = 3000 m, dry runway

![](_page_34_Figure_2.jpeg)

# Regional Jet. Go-Around, LH-Engine Out During 'Engines to Maximum Power Rating' Procedure. Pilot Response Delay Effect

![](_page_35_Figure_1.jpeg)

## Medium-Range Jet. Cruise Flight, Strong Atmospheric Turbulence. Effect of EFCS Interceptor Signals on Speed-Hold Control

![](_page_36_Figure_1.jpeg)

Auxiliary interceptor signals from Electronic Flight Control System (EFCS) help minimize throttle application

- for maintaining commanded IAS in cruise flight in strong turbulence conditions:
  - Case 1. Intensive throttle control inputs (no auxiliary interceptor signals from EFCS).
  - **Case 2. Minimal throttle control inputs** (with auxiliary interceptor signals from EFC).

Benefits: higher fuel efficiency, lower emissions and extended service life of engines.

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## Regional Jet. Landing, Head-Tail Wind. Effect of Flightpath Active **Correction (EFCS Interceptors Signals) on Descent and Flare**

![](_page_37_Figure_1.jpeg)

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## Medium-Range Jet. Landing, RH-Engine Out, Wet R/W, $W_y = 15$ m/s. Effect of Differential (Interceptors + Brakes) Control on Groundroll

![](_page_38_Figure_1.jpeg)

## Medium-Range Jet. Level Flight, Strong Vertical Windshear. Effect Of EFCS Auxiliary Interceptor Signals on IAS Hold Control

![](_page_39_Figure_1.jpeg)

- Advantage of IAS hold control by interceptor auxiliary signals from EFCS:
  - 1. Minimal fluctuations of IAS (about three times) while crossing windshear zone.
- 2. Smoother throttle control (no 'spikes').

![](_page_39_Picture_5.jpeg)

This off-nominal scenario and piloting technique have been studied 'at the 'click of a mouse' within a low budget and a short time schedule).

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## Regional Jet. Aborted Takeoff, Crosswind 15 m/s, Critical Engine-Out Below V<sub>1</sub> During Groundroll

![](_page_40_Figure_1.jpeg)

## Regional Jet. Continued Landing, Critical Engine-Out, Crosswind 15 m/s, Thrust Reverser Inoperative during Groundroll

![](_page_41_Figure_1.jpeg)

![](_page_41_Figure_2.jpeg)

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## Medium-Range Jet. Landing, RH-Engine, Icy R/W, Crosswind 15 m/s, Out, Differential Control by Interceptors + Brakes in Groundroll

![](_page_42_Figure_1.jpeg)

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## Parallel Analysis of Multiple Situations Using Knowledge Maps (Overview Slide)

![](_page_43_Figure_1.jpeg)

#### Examples of knowledge maps

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## Accident Reconstruction and 'What-if Neighborhood' Analysis Under Uncertainty

![](_page_44_Figure_1.jpeg)

## **Determination of Optimal (Safest) Flight Path Angle in Steep Turn Using Situation Complexity Build-up Diagrams**

![](_page_45_Figure_1.jpeg)

Situation Complexity Dund-up Diagram	$\langle \neg$	Situation	Complexity	y Build-up	Diagram
--------------------------------------	----------------	-----------	------------	------------	---------

Situational tree <b><i>T</i> = S</b> · <b>Γ</b> : 'Takeoff and initial
climb, variations/ errors of selecting
commanded flight path angle $\theta_{G}$ and
commanded bank angle $\gamma_{G}$ ' (2-factor domain,
sub-tree with $\theta_{\rm G}$ = var and $\gamma_{\rm G}$ = -30°)

Optimal flight path angle ( $\theta_{G OPT} \approx 7^{\circ}$ ) can be easily identified: This is an interim scenario between 'flights' ## 2579 and 2592. It is equally distanced (safety-wise) between boundary 'flights' ## 2553 and 2618.

<u>Legend</u>: **S** – normal takeoff scenario.  $\Gamma$  – multifactor hypothesis.  $\Gamma = \Phi_1 \times \Phi_2$ .  $\Phi_1$  – commanded flight path angle  $\theta_G$ .  $\Phi_2$  – commanded bank angle  $\gamma_2$ . *F* – 'flight' number.  $\sigma$  – situation complexity index.  $\eta$  – flight safety index. t – flight time [s]. safety palette. 

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17ATF-0007 (v.7 final, June 5,.2017)

## Analysis of Cross-Coupling Effects of Multifactor Risks on System Dynamics and Safety Using Integral Safety Spectra Carpets

![](_page_46_Figure_1.jpeg)

Situational tree  $T_3 \equiv S_3 \cdot \Gamma_2$ : Continued takeoff and initial climb, with left-hand engine our during ground-roll with variations of wheels-runway adhesion coefficient  $\Phi_3$ , cross wind velocity  $\Phi_4$ , and commanded flight path angle  $\Phi_1$  (four-factor operational domain)

A family of safety carpets is a 'bird's eye view picture showing the integrated effect of four risk factors on continued takeoff and initial climb – good for visual analytics and anomalies identification.

Commanded flight path angle Φ<sub>1</sub>, [deg.]
 Wheels-runway adhesion coefficient Φ<sub>3</sub>, [-]
 Cross wind velocity Φ<sub>4</sub>, [m/s]

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## Virtual Exploration of Congested/ Limited Local Airspace Using Situational Trees and Safety Spectra

![](_page_47_Figure_1.jpeg)

## Analysis of Safety Topology and Identification of Anomalies In Multifactor Operational Domains Using Safety Windows

 $S_5 \cdot \Gamma_{10}$ : Continued takeoff with LHengine out during ground-roll in cross-wind conditions. Variations of engine failure speed and crosswind velocity (3-factor domain)

 $S_4$ ·Γ<sub>12</sub>: Normal takeoff in 'strong' wind-shear conditions. Variations/ errors in maintaining commanded flight path angle (1<sup>st</sup> phase of climb) and commanded bank angle (3-factor domain)

![](_page_48_Figure_4.jpeg)

![](_page_48_Figure_5.jpeg)

Legend: **S** - baseline scenario, **Γ** - multifactor hypothesis, **N**(*F*) – number of 'flights' in situational tree. **3** (**6**) – gradual (abrupt) transition from a safe state to an unsafe (catastrophic) state. : **S** - safety categories.

## Visual Analytics using 3D Safety Windows and Safety Chances Distribution Pie Charts

Situational tree: 'Normal takeoff and initial climb. Pilot errors/ variations of commanded flight path angle  $\theta_{G1}$  (1<sup>st</sup> phase of climb, flaps on), commanded flight path angle  $\theta_{G2}$  (2<sup>nd</sup> phase of climb, flaps off), and engine power rating' (3-factor operational domain)

 $\Phi_8$ : Commanded flight path angle χ<sup>j</sup>, % Category زع n S<sub>1</sub>·Γ<sub>8</sub> (2<sup>nd</sup> phase of climb), deg. 32 76 0 2 12 6 10 8 8 ll-a 19 5 14 II-b 2 100 **Multiple Situations Analysis** Ш 0 0 12 IV 0 0 10 100 ν 0 0 8  $\Sigma n^{j}, \Sigma \gamma^{j} | \mathbf{S}_{1} \cdot \mathbf{\Gamma}_{s}$ 42 100 6 Category زع χ<sup>j</sup>, % 4 nj 28 66 0 2 6 8 10 12 4 Φ<sub>7</sub>. ll-a 10 24 14 Commanded  $\Phi_{10}$ 4 10 II-b 12 80 **Φ**<sub>10</sub>, % Engines flight path ш 0 0 angle 10 80 power IV 0 0 (1<sup>st</sup> phase rating at 8 v 0 0 of climb), deg. takeoff, % 6  $\Sigma n^{j}, \Sigma \chi^{j} | \mathbf{S}_{1} \cdot \mathbf{\Gamma}_{8}$ 42 100 4 χ<sup>j</sup>, % زع | Category nj 0 2 4 6 8 10 12 19 45 ц С 14 4 ll-a 10 12 ll-b 11 26 60 10 60 3 Ш 7 12 8 IV 5 V 0 0 6  $\Sigma n^{j}$ ,  $\Sigma \gamma^{j} | \mathbf{S}_{1} \cdot \mathbf{\Gamma}_{\circ}$ 42 100 4 Return to Table of Contents Legend: **\_\_\_\_** – safety categories.

3D safety window

**Safety Chances Distribution Pie Charts** 

## Adaptive Virtual Fast-Time Flight Test and Operation Cycle For Early Exploration of Project's 'Alternative Futures'

![](_page_50_Figure_1.jpeg)

Legend: (\*) – lifecycle phase: conceptual/ preliminary/ detailed design (CD/ PD/ DD), flight test and certification (T&C), pilot training, introduction into service, operations. (\*\*) – proportional to the number of risk factors in a flight scenario. *t* – current time (present). V<sub>1</sub>, ..., V<sub>k</sub> – existing aircraft types. S<sub>1</sub>, ..., S<sub>k</sub>, ..., S<sub>M</sub> – new aircraft project design versions at present.  $\rightarrow \rightarrow \rightarrow$  – cause-and-effect scenario of a historic accident situation.  $\rightarrow \rightarrow \rightarrow$  – cause-and-effect scenario of a flight test case. A<sup>1</sup>, ..., A<sup>i</sup>, ..., A<sup>L</sup> – flight accidents recorded in the past. C<sup>1</sup>, ..., C<sup>N</sup> – Part 23/ 25/ ... flight T&C cases. *t*<sub>1(A)</sub>, ..., *t*<sub>*i*(A)</sub>, ..., *t*<sub>*i*(A)}, ..., *t*<sub>*i*(A)</sub></sub></sub></sub></sub></sub></sub></sub></sub></sub>

Future Development and Applications

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## Pilot Cognitive Assistance: 'Tactical Goals - Constraints' Real-Time Management Using Safety Windows

Situational tree: 'Normal takeoff under uncertain wind-shear conditions and possible pilot errors/ variations in flight path angle and bank angle control ' (3-factor operational domain)

![](_page_51_Figure_2.jpeg)

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## Prediction and Enhancement of Aircraft Flight Path Safety In Multifactor/ Unknown Situations

![](_page_52_Figure_1.jpeg)

<u>Legend</u>: Not to scale. t - relative timeof safety prediction,  $t \in [t_0; t+\Delta]$  (t = 0 - current flight time,  $\Delta - \text{depth of safety}$ prediction). Examples of situational tree's branches: 'stuck rudder' ( $B_1$ ); 'left-hand engine out' ( $B_2$ ); demanding weather conditions: 'strong' wind shear' ( $B_3$ ), 'low visibility' ( $B_4$ ).  $\blacksquare \blacksquare \blacksquare =$ flight path safety colors: T - turquoise, G - green, S - salad, Y - yellow, A amber, R - red, B - black). Flight path categories: optimal (1), safe (2), dangerous (3), catastrophic (4). (\*) see implementation examples in this presentation. (\*\*) - conceptual layout.

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Flight Safety Window and Situational Forecast Display maps can be used to prototype future ATM system's functions for flightpath prediction and envelope protection in complex/ uncertain conditions.

## 'Intelligent Safety Suit': Real-Time Prediction and Avoidance of Collisions for Small Autonomous Vehicles

![](_page_53_Picture_1.jpeg)

<u>Legend</u>: Not to scale. **1** – situational tree used for short-term prediction of vehicle safety. **2** – multifactor domain/ cone for safety screening (forecast subtree).  $t_0$  – current flight time.  $t_*$  – forecast start time.  $t^*$  – forecast start time.  $\tau = (t_* - t_0)$  – decision making delay.  $\Delta_p = (t^* - t_*)$  – time depth of safety screening.  $\square \square \square \square$  – integral safety spectrum colors.

![](_page_53_Picture_4.jpeg)

The 'Intelligent Safety Suit' (ISS) is a concept of affordable onboard safety protection systems for autonomous vehicles, **including small UXVs**. It is based on Situational Tree, Integral Safety Spectra and Safety Window knowledge maps. The ISS system incorporates a **comprehensive situational knowledge base and real-time inference engine**. It is aimed at **flight path prediction and collision avoidance** in complex/ unknown operational domains.

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## **Comparison of Flight Research Techniques**

Metric		Technique				
		DM	MS	DS	SM	
Insensitivity to the complexity level of a flight situation under study	-	-	-	-	+ *	
Flexible 'what-if' flight experimentation	-	-	-	-	+	
Easy combining of several risk factors in a flight scenario	-	-	+ **	+ **	+ *	
System level exploration of complex cause-and-effect relationships of flight	+ **	+ **	+ **	+ **	+	
Affordability of flight experiments	-	+	-	+	+	
Autonomy (independence of research pilot and hardware)	-	+ **	-	+ **	+	
Availability during lifecycle	+ **	+ **	+	+	+	
Fast-time flight experimentation	-	-	+ **	+	+	
Fidelity of flight research results	+	+ **	+ *	+ *	+ *	

<u>Legend</u>: **FT** – flight test. **DM** – dynamically scaled flying model. **MS** – manned (piloted) flight simulator. **DS** – commercial desktop flight M&S software. **SM** – System Dynamics Model (**VFT&C** technique). **+** ('YES') or **-** ('NO') in matching the criterion. **(\*)** – depends on the 'parametric definition' fidelity and completeness. **(\*\*)** – limited capability.

## **Comparison of Flight Research Techniques**

Metric		Technique					
		DM	MS	DS	SM		
Repeatability of flight scenarios. Retention of scenarios for future reuse	-	+ **	-	+ **	+		
Generalized formal description of any baseline flight situation and its multifactor ('what-if') neighborhood'	-	-	-	-	+		
Automatic exploration of 'what-if' neighborhood of a baseline situation	-	-	-	-	+		
Automation of flight scenario planning task	-	+ **	-	-	+		
Safety of flight experimentation	+ **	+ **	+	+	+		
Suitability for training of line pilots, test pilots and test engineers	+ **	-	+ *	+ *	+ *		
Automation of safety knowledge mining and mapping	-	-	-	-	+		
Monitoring multiple operational constraints in flight experiments	-	-	+ **	+ **	+		
Legend: see previous slide.							

![](_page_55_Picture_2.jpeg)

The VFTC technology is complementary to 'classic' flight research techniques, such as manned simulations, flight testing, wind tunnel testing, CFD, etc.

## **User Benefits**

		User category							
Benefit	Designer (*)	Test Pilot / Engineer	Regulator	Educator / Instructor	Line Pilot / Operator	Investigator / Safety engineer			
more options of configuration/ equipment (*) 'flown' during design									
more thoroughly <b>verified algorithms</b> of automatic flight control and safety protection systems									
lower cost and shorter schedule of flight T&C cycle									
less rework (redesign, retesting, rehearsal, retraining, etc.)									
better preparedness of pilots & engineers for multifactor unknowns									
better focused programs of manned flight simulations and real tests									
more <b>ab initio knowledge gained about a 'what-if off-nominal</b> <b>neighborhood'</b> of fight situations									
enhanced flight safety in unusual/ unfamiliar operating conditions									
more thoroughly screened complex operational domains and more reliably validated aircraft airworthiness									
Legend: (*) - Aerodynamics, Flight Control, Powerplant, etc.									

## **User Benefits**

		ι	Jser ca	ategor	у	
Benefit	Designer (*)	Test Pilot / Engineer	Regulator	Educator / Instructor	Line Pilot / Operator	Investigator / Safety engineer
more intelligent aids for training (didactics, demonstration, etc.)						
more flexible flight scenario planning and broader scenario library						
more efficient training process (more affordable, shorter and deeper)						
better understood safety margins and accident precursors						
deeper knowledge about unsafe sub-domains and their topology						
more reliable identification of a potential accident's causality						
better recommendations on accident prevention/ reoccurrence						
Legend: (*) - aerodynamics, flight control, powerplant, etc.						

![](_page_57_Picture_2.jpeg)

**User Benefits** 

Core benefits include: shorter **schedule**, lower **costs**, lower demand for **other resources** (materials, cadre, technology, etc.), higher **throughput**, and **much larger volume of a priori knowledge** about flight safety in off-nominal operating conditions.

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## Pitfalls

Pitfall	Consequence
Imbalanced accuracy of component models in the system	Invalid simulation results
dynamics model, e.g. a mix of very detailed <u>and</u> simplistic components	
Lack of reference flight data for system model validation: flight test/ operation/ accident/ manned simulation data records	Impossible to validate system dynamics model
Errors in component models or in aircraft parametric definition	Invalid simulation results
Sensitive data protection. Timely update of a/c parametric definition.	Delayed/ slowed process
Use of the aircraft flight physics model as a 'black box', without	Invalid simulation results
understanding its assumptions and limitations	
Errors in the aircraft 'parametric' definition	Invalid simulation results
Incorrect setup of operational constraints	Distorted safety performance
Technology use outside the arguments range of aircraft 'parametric	Invalid results of simulation and
definition'	safety analysis
Inadequate setup of a baseline situation (events/ processes)	Incorrectly planted trees
Too sparse or too dense grid for quantification of risk factors	Missed/ overlooked safety flaws
Automatic flight control/ safety protection algorithms not available	Impossible to use technology

![](_page_58_Picture_2.jpeg)

8. Pitfalls and Challenges

At this stage, it is important to have close cooperation links between the technology developers, users and customer management.

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Problem/ challenge

**Data standards for Information exchange**: 'aircraft parametric definition owner – VFTC technology user'.

**Information protection and exchange**: 'aircraft flight test/operation/ simulation data owner – VFTC technology user'.

Availability/ accuracy of **mathematical description of unsteady aerodynamics** for boundary flight cases: high AoA, large sideslip, stall modes, spin, etc.

Availability of input data for aero-elasticity effects on flight physics

Availability of input data for asymmetric aerodynamic configurations

Availability of **mathematical description of automatic flight control system functions** for examined conditions and modes of flight.

Identification of a human pilot model's parameters for specific flight modes and conditions.

Development of virtual/ augmented reality tools for complex domain exploration (onboard/ off-board).

Accreditation of the system dynamics model.

Certification of the VFTC technology.

Other challenges.

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## Conclusions

1. The internal situational knowledge base of a pilot has a **branching memory structure**, which is **subject to growth and decay for multifactor off-nominal operational sub-domains**.

The VFTC technology demonstrates the **potential to back up and/or reinforce a human pilot's situational awareness and decision-making** in non-standard flight situations for safety.

2. The technology is **complementary to classic flight research techniques in predicting aircraft/ project flight and safety performance** in complex/ unknown operational domains.

- 3. Application sectors (recommended):
- design, in-depth analysis and rehearsal of off-nominal scenarios: flight T&C, SOPs, accidents, etc.
- a priori screening of large complex domains of flight for unsafe anomalies.
- design and validation of automated/ automatic flight control systems.
- accident/ incident reconstruction and 'neighborhood' analysis under uncertainty.
- theoretical training of pilots and engineers in branching system dynamics.
- **component prototyping of intelligent systems** for autonomous missions, operator-vehicle interface, pilot training/ aiding/ cognitive backup, collision avoidance, flight envelope protection.

## Conclusions

#### 4. Benefits:

- increased (10<sup>3</sup> ...10<sup>5</sup> times) volume of predictive knowledge (not data) about complex system dynamics and safety available early in the lifecycle (steeper learning curve).
- better focused programs of manned simulation research, flight test and certification.
- **substantially reduced volume** of manned flight simulations and flight tests.
- essential savings of other resources (time, etc.) on design, flight T&C and training.

#### 5. Pitfalls:

- aircraft 'parametric definition' availability, 'richness' and validity ('rubbish in rubbish out')
- imbalanced component models in the system dynamics model mathematical fidelity, etc.
- real flight/ simulator data records for model validation for a prototype, older type, etc.

## 6. Challenges:

- Aircraft type/ design project information exchange
- System dynamics model/ technology accreditation
- Mathematical **description of flight modes at the edge** of operational envelope post-stall, large sideslip, aero-elasticity effects, asymmetric configurations, etc.

## Acknowledgements

![](_page_62_Picture_1.jpeg)

![](_page_62_Picture_2.jpeg)

![](_page_62_Picture_3.jpeg)

![](_page_62_Picture_4.jpeg)

![](_page_62_Picture_5.jpeg)

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## **Thank You Very Much for Your Attention!**

![](_page_63_Picture_1.jpeg)

![](_page_63_Picture_2.jpeg)

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